FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE** COMMISSION

Washington, D.C. 20549

| OMB APPROVAL             |           |  |  |  |  |  |  |  |
|--------------------------|-----------|--|--|--|--|--|--|--|
| OMB Number:              | 3235-0287 |  |  |  |  |  |  |  |
| Estimated average burden |           |  |  |  |  |  |  |  |
| hours per response       | : 0.5     |  |  |  |  |  |  |  |

|        | Check this box if no longer subject |
|--------|-------------------------------------|
| $\neg$ | to Section 16. Form 4 or Form 5     |
| _      | obligations may continue. See       |
|        | Instruction 1(b).                   |

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

|  |  |         |  |   |  |   | - ()   |                                      |   | 00         | припу леге   | . 10 .0   |  |   |  |                      |   |  |         |
|--|--|---------|--|---|--|---|--|--------------------------------------|---|------------|--|---|--|---|--|----------------------|---|--|---------|
| Name and Address of Reporting Person*  Address James 1. Name 2. December |  |         |  |   | 2. Issuer Name <b>and</b> Ticker or Trading Symbol HOOKIPA Pharma Inc. [HOOK]  |   |  |                                      |   |            |  |   |  | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) |  |                      |   |  |         |
| Aldag Jorn   |  |         |  |   | [ HOOK ]   |   |  |                                      |   |            |  |   | X                                      | Direc   | tor  |                      | 10% O   | wner   |         |
| (Last)   | (Fi  | rst) (N | ) (Middle)   |   |  |   | 3. Date of Earliest Transaction (Month/Day/Year) |                                      |   |            |  |   |  |   | Office   | er (give title<br>v) |   | Other (<br>below)  | specify |
| C/O HOOKIPA PHARMA INC.,   |  |         |  |   | 07/03/2023   |   |  |                                      |   |            |  |   |  | C   | Chief Executive Officer                        |                      |   |  |         |
| 350 FIFTH AVENUE, 72ND FLOOR, SUITE 7240   |  |         | 4. If Amendment, Date of Original Filed (Month/Day/Year) |   |  |   |  |                                      |   |            |  | 6. Individual or Joint/Group Filing (Check Applicable Line) |  |   |  |                      |   |  |         |
|  |  |         |  |   |  |   |  |                                      |   |            |  |   |  | X   | X Form filed by One Reporting Person           |                      |   |  |         |
| (Street)   | (Street) NEW YORK NY 10118   |         |  |   |  |   |  |                                      |   |            |  |   |  | Form filed by More than One Reporting Person                            |  |                      |   |  |         |
|  | W YORK NY 10118  |         |  |   | Rule   | Rule 10b5-1(c) Transaction Indication   |  |                                      |   |            |  |   |  |   |  |                      |   |  |         |
| (City)   | (St  | ate) (2 | Zip)   |   | Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10. |   |  |                                      |   |            |  |   |  |   |  | tended to            |   |  |         |
|  |  | Table   | I - No   | n-Derivat                               | tive S   | ecur  | ities  | Acc                                  | quired,                                 | Dis        | posed of   | , or I  | 3enefi                                 | cially  | / Owr  | ned                  |   |  |         |
| 1. Title of Security (Instr. 3)  2. Transaction Date (Month/Day/   |  |         |  | Execution Da                            |  |   |  | Transaction Disposed Code (Instr. 5) |   | Disposed C | es Acquired (A<br>Of (D) (Instr. 3,  |   | 4 and Secur<br>Benef<br>Owne<br>Follow |   | cially<br>I<br>ving                            |                      | : Direct<br>r<br>ect (I)  | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4)  |         |
|  |  |         |  |   |  |   |  |                                      | Code                                    | v          | Amount   | (A) or<br>(D) Prid  |  | •   | Reported<br>Transaction(s)<br>(Instr. 3 and 4) |                      |   |  |         |
| Common Stock 07/03/20  |  |         |  |   | 023  |   |  |                                      | Р 5,0                                   |            | 5,000  | A   | \$0.8                                  | 8911 5  |  | 56,952               |   | D  |         |
|  |  | Tab     | ole II -   | - Derivativ<br>(e.g., put               |  |   |  |                                      |   |            |  |   |  |   | Owne   | ed                   |   |  |         |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)  | tive Conversion Date Execution Date, or Exercise (Month/Day/Year) if any |         | ution Date,  | 4.<br>Transaction<br>Code (Instr.<br>8) |  | 5.<br>Numl<br>of<br>Deriv<br>Secu<br>Acqu<br>(A) or<br>Dispo<br>of (D)<br>(Instr<br>and 5 | rative<br>rities<br>ired<br>r<br>osed<br>)       | Expirat                              | Exercisable and<br>on Date<br>Day/Year) |            | 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative<br>Security<br>(Instr. 3 and |   | Der<br>Sec<br>(Ins                     | rice of<br>ivative<br>urity<br>tr. 5)                                   | ative derivative                               |                      | 0.<br>ovmership<br>orm:<br>birect (D)<br>r Indirect<br>) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |         |
|  |  |         |  |   | Code   | v   | (A)  | (D)                                  | Date<br>Exercis                         | able       | Expiration<br>Date   | Title   | Amount<br>or<br>Number<br>of<br>Shares |   |  |                      |   |  |         |

**Explanation of Responses:** 

/s/ Reinhard Kandera, as Attorney-in-Fact

07/05/2023

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.