FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

| | OMB APPROVAL | | | | | | | | | | |
|---|--------------------------|-----|--|--|--|--|--|--|--|--|--|
| | OMB Number: 3235-028 | | | | | | | | | | |
| | Estimated average burden | | | | | | | | | | |
| l | hours per response: | 0.5 | | | | | | | | | |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person* Necina Roman | | | | | | 2. Issuer Name and Ticker or Trading Symbol HOOKIPA Pharma Inc. [HOOK] | | | | | | | | eck all appli Direct | , | | son(s) to Issu 10% Ow Other (s | ner | |
|--|--|--|--|------|---|--|--------|--|--|--------|---------------|--|--|---|---|---|--|--|--|
| (Last) (First) (Middle) C/O HOOKIPA PHARMA INC. | | | | | 3. Date of Earliest Transaction (Month/Day/Year) 04/19/2022 | | | | | | | | | helow) | | | below) | poony | |
| 350 FIFTH AVENUE, 72ND FLOOR, SUITE 7240 | | | | | | If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | | | Individual or Joint/Group Filing (Check Applicable | | | | | |
| (Street) NEW YORK NY 10 | | 10118 | | 4.11 | F. II Americinent, Date of Original Filed (world/Day/rear) | | | | | | Lin | X Form filed by One Reporting Person Form filed by More than One Reporting | | | | | | | |
| (City) | (Si | (State) (Zip) | | | | Person | | | | | | | | | | | | | |
| Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | | | | | | | | | | | | | |
| 1. Title of Security (Instr. 3) 2. Transa Date (Month/D. | | | | | Execution Date, | | | 3. Transaction Code (Instr. 8) 4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 4) 5) | | | | Benefici | es ally Following | Form (D) or | orm: Direct | 7. Nature of Indirect Beneficial Ownership | | | |
| | | | | | | | | Code | <i>,</i> | Amount | (A) or (D) | Price | Transac (Instr. 3 | ction(s) | | | (Instr. 4) | | |
| | Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) | | | | | | | | | | | | | | | • | | | |
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Dat if any (Month/Day/Yo | Co | 4. Transaction Code (Instr 8) | | | | 6. Date Exercisable Expiration Date (Month/Day/Year) | | of Securities | | ies g Security | 8. Price of Derivative Security (Instr. 5) | 9. Number derivative Securities Beneficial Owned Following Reported Transactio (Instr. 4) | ve ies ially ng ed ction(s) | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| | | | | Co | ode | v | (A) | (D) | Date Exercisable | | piration | Title | Amount or Number of Shares | | | | | | |
| Stock Option (Right to Buy) | \$1.66 | 04/19/2022 | | | A | | 48,350 | | (1) | 04/ | /19/2032 | Common Stock | 48,350 | \$0 | 48,350 |) | D | | |

Explanation of Responses:

1. 25% of this option shall vest on April 19, 2023, with the remainder vesting in 12 equal quarterly installments thereafter.

/s/ Reinhard Kandera, as Attorney-in-Fact

04/20/2022

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.