SEC Form 4	
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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL OMB Number: 3235-0287

Estimated average burden hours per response: 0.5

1. Name and Address of Reporting Person* SOFINNOVA CAPITAL VI FCPR						2. Issuer Name and Ticker or Trading Symbol <u>HOOKIPA Pharma Inc.</u> [HOOK]									5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director X 10% Owner					
(Last) (First) (Middle) C/O HOOKIPA PHARMA INC., 350 FIFTH AVENUE, 72ND FLOOR, SUITE 7240						3. Date of Earliest Transaction (Month/Day/Year) 10/02/2020									Office below	er (give title v)		Other (below)	specify	
AVENCE, 72ND FEOOR, SOTTE 7240 (Street) NEW YORK NY 10118 (City) (State) (Zip)					4. If A	4. If Amendment, Date of Original Filed (Month/Day/Year)									6. Individual or Joint/Group Filing (Check Applicable Line) Form filed by One Reporting Person X Form filed by More than One Reporting Person					
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																				
Date				te E onth/Day/Year) if		2A. Deemed Execution Date, if any (Month/Day/Year)		Transaction D		4. Securities Acquired (A Disposed Of (D) (Instr. 3 5)			5, 4 and Secu Bene		cially I Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)		
								Code	v	Amount	(A) (D)	(A) or (D) Price		Transa	Transaction(s) (Instr. 3 and 4)			(
Common Stock 1					2020				S ⁽¹⁾		300	D		\$1 <mark>(</mark>		06,194		D ⁽²⁾		
		Та									osed of, o onvertib					d				
1. Title of Derivative Security (Instr. 3) 2. Conversion or Exercise Price of Derivative Security		(Month/Day/Year) if any		med on Date, Day/Year) 4. Transac Code (In 8)					6. Date Exercisable and Expiration Date (Month/Day/Year)			7. Title and Amount of Securities Underlying Derivative Security (Ins 3 and 4)			8. Price of Derivative Security (Instr. 5)	9. Number derivative Securities Beneficial Owned Following Reported Transactio (Instr. 4)	y Direct (D or Indire (I) (Instr.	Ownership	Beneficial Ownership (Instr. 4)	
					Code	v	(A)	(D)	Date Exercis	able	Expiration Date	Title	Amo or Num of Shar	ber						
		Reporting Person [*]	FCPR			_														
		(First) ARMA INC., 35 LOOR, SUITE 7		,																
(Street) NEW Y	ORK	NY	10118	8		-														
(City)		(State)	(Zip)																	
	nd Address of ova Partn	FReporting Person [*]	e																	
		(First) ARMA INC., 35 LOOR, SUITE 7		,		_														
(Street) NEW Y	ORK	NY	10118	8		_														
(City)		(State)	(Zip)																	

1. Shares sold pursuant to a Rule 10b5-1 trading plan dated September 30, 2020 previously adopted by the Reporting Person.

2. Sofinnova Partners SAS is the managing company of Sofinnova Capital VI FCPR and disclaims beneficial ownership of these shares except to the extent of its pecuniary interest therein, if any.

<u>/s/ Monique Saulnier, Name:</u> <u>Monique Saulnier, Title:</u> <u>Managing Partner of</u> <u>Sofinnova Partners SAS</u>

10/06/2020

Explanation of Responses:

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.