SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

	Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL										
OMB Number:	3235-0287									
Estimated average	burden									

hours per response: 0.5

1. Name and Address of Reporting Person [*] SOFINNOVA CAPITAL VI FCPR				2. Issuer Name and Ticker or Trading Symbol <u>HOOKIPA Pharma Inc.</u> [HOOK]								5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director X 10% Owner								
(Last) (First) (Middle) C/O HOOKIPA PHARMA INC., 350 EUCTH VENUE, 72ND ELOOP, SUITE 7240					3. Date of Earliest Transaction (Month/Day/Year) 03/23/2021									Office belov	er (give title v)		Other (below)	(specify		
FIFTH, AVENUE, 72ND FLOOR, SUITE 7240			4. lf	4. If Amendment, Date of Original Filed (Month/Day/Year)										6. Individual or Joint/Group Filing (Check Applicable						
(Street) NEW YORK, NY 10118															Line) Form filed by One Reporting Person X Form filed by More than One Reporting Person					
(City) (State) (Zip)																				
		Table	I - N	1				s Ac	quire	d, Di	sposed of	, or B	Benefi	cially	own	ed				
1. Title of Security (Instr. 3) 2. Transaction Date (Month/Day/N					Exect if any	2A. Deemed Execution Date, f any Month/Day/Year)		3. Transaction Code (Instr. 8)		4. Securities Disposed Of	Acquired (A) o (D) (Instr. 3, 4		nd 5)	5. Amount of Securities Beneficially Owned Following Reported		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)		
								Code	v	Amount	(A) or (D)	Price		Transaction(s) (Instr. 3 and 4)						
Common	Stock			03/23/20	021				S ⁽¹⁾		40,000	D	\$13.	9119	3,6	3,659,430		D ⁽²⁾		
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	Exec if any	Deemed :ution Date, y th/Day/Year)		4. Transaction Code (Instr.		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		ation I	rcisable and Date /Year)	7. Title and Amount of Securities Underlying Derivative Security (Ins 3 and 4)		Der Sec (Ins	Price of rivative curity str. 5)	9. Number derivative Securities Beneficiall Owned Following Reported Transactio (Instr. 4)	ly	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)	
					Code	Code V		(D)	Date Exerc	isable	Expiration Date	Title	Amoun or Numbe of Shares	er						
		f Reporting Person [*] CAPITAL VI 1	FCP	<u>R</u>																
		(First) ARMA INC., 35 72ND FLOOR, 5	0	Middle) E 7240																
(Street) NEW Y	ORK,	NY	1	.0118																
(City)		(State)	(2	Zip)																
	nd Address o <mark>ova Partn</mark>	f Reporting Person [*] <u>ers SAS</u>																		
		(First) ARMA INC., 35 LOOR, SUITE 7	0 FIF	Middle) TH																
(Street) NEW Y	ORK,	NY	1	0118		-														

Explanation of Responses:

(State)

(City)

1. Shares sold pursuant to a Rule 10b5-1 trading plan dated September 30, 2020 previously adopted by the Reporting Person.

(Zip)

2. Sofinnova Partners SAS is the managing company of Sofinnova Capital VI FCPR and disclaims beneficial ownership of these shares except to the extent of its pecuniary interest therein, if any.

<u>/s/ Monique Saulnier, Name:</u> <u>Monique Saulnier, Title:</u> <u>Managing Partner of</u> <u>Sofinnova Partners SAS</u>

03/25/2021

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.