

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP**

OMB APPROVAL	
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <b>SOFINNOVA CAPITAL VI FCPR</b>  (Last) (First) (Middle) <b>C/O HOOKIPA PHARMA INC.</b> <b>350 FIFTH AVENUE, 72ND FLOOR, SUITE 7240</b>  (Street) <b>NEW YORK NY 10118</b>  (City) (State) (Zip)	2. Issuer Name and Ticker or Trading Symbol <b>HOOKIPA Pharma Inc. [ HOOK ]</b>	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)  Director <input checked="" type="checkbox"/> 10% Owner  Officer (give title below) Other (specify below)
	3. Date of Earliest Transaction (Month/Day/Year) <b>04/23/2019</b>	
	4. If Amendment, Date of Original Filed (Month/Day/Year)	

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock	04/23/2019		C		916,901	A	(1)	999,357	D(2)	
Common Stock	04/23/2019		C		1,719,189	A	(1)	2,718,546	D(2)	
Common Stock	04/23/2019		C		888,166	A	(1)	3,606,712	D(2)	
Common Stock	04/23/2019		P		357,142	A	\$14	3,963,854	D(2)	

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Series A Preferred Stock	(1)	04/23/2019		C		916,901		(1)	(1)	Common Stock	916,901	(1)	0	D(2)	
Series B Preferred Stock	(1)	04/23/2019		C		1,719,189		(1)	(1)	Common Stock	1,719,189	(1)	0	D(2)	
Series C Preferred Stock	(1)	04/23/2019		C		888,166		(1)	(1)	Common Stock	888,166	(1)	0	D(2)	

1. Name and Address of Reporting Person\*  
**SOFINNOVA CAPITAL VI FCPR**  
  
 (Last) (First) (Middle)  
**C/O HOOKIPA PHARMA INC.**  
**350 FIFTH AVENUE, 72ND FLOOR, SUITE 7240**  
  
 (Street)  
**NEW YORK NY 10118**  
  
 (City) (State) (Zip)

1. Name and Address of Reporting Person\*  
**Sofinnova Partners SAS**  
  
 (Last) (First) (Middle)  
**C/O HOOKIPA PHARMA INC.**  
**350 FIFTH AVENUE, 72ND FLOOR, SUITE 7240**  
  
 (Street)  
**NEW YORK NY 10118**  
  
 (City) (State) (Zip)

**Explanation of Responses:**

1. The preferred stock automatically converted into the Issuer's common stock on a one-for-one basis at the closing of the Issuer's initial public offering held on April 23, 2019 without payment or further consideration and had no expiration date.
2. Sofinnova Partners SAS is the managing company of Sofinnova Capital VI FCPR and disclaims beneficial ownership of these shares except to the extent of its pecuniary interest therein, if any.

/s/ Monique Saulnier, Name:

Monique Saulnier, Title:

Managing Partner of Sofinnova

Partners SAS

04/25/2019

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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