FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

| _          |      |       |  |
|------------|------|-------|--|
| ashington, | D.C. | 20549 |  |

| STATEMENT | OF CHA | NGES IN | BENEFICIAL | OWNERSHIP |
|-----------|--------|---------|------------|-----------|
|           |        |         |            |           |

| OMB APPROVAL             |     |  |  |  |  |  |  |  |  |  |
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| OMB Number: 3235         |     |  |  |  |  |  |  |  |  |  |
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| hours per response.      | 0.5 |  |  |  |  |  |  |  |  |  |

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| Name and Address of Reporting Person*     Schlienger Katia   |   |  |   | 2. Issuer Name and Ticker or Trading Symbol HOOKIPA Pharma Inc. [ HOOK ] |   |   |                                |   | (Che  | ck all applica  | ,<br>10% Own  |  | rner   |  |  |  |
|--|---|--|---|--|---|---|--------------------------------|---|---|---|---|--|--|--|--|--|
| (Last)   | ,   | irst)<br>ARMA INC.                         | (Middle)  |  | 3. Date of Earliest Transaction (Month/Day/Year) 04/14/2023   |   |                                |   |   |   | X   | X Officer (give title Other (speci below)  Chief Medical Officer   |  |  |  |  |
| 350 FIFTH AVENUE, 72ND FLOOR, SUITE 7240   |   |  | 240 4.  | 4. If Amendment, Date of Original Filed (Month/Day/Year)                 |   |   |                                |   | Line)   | 6. Individual or Joint/Group Filing (Check Applicable Line)  X Form filed by One Reporting Person |   |  |  |  |  |  |
| (Street)<br>NEW YO   | ORK N   | Y  | 10118   |  |   |   |                                |   |   |   | ) X   | _  | ,  |  | One Report   |  |
| (City)   | (S  | tate)                                      | (Zip)   | F  | Rule 10b5-1(c) Transaction Indication  Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10. |   |                                |   |   |   |   |  |  |  |  |  |
| Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned   |   |  |   |  |   |   |                                |   |   |   |   |  |  |  |  |  |
| Date   |   |  | 2. Transaction<br>Date<br>(Month/Day/                   | Execution Date,  |   | 3. Transaction Code (Instr. 8) 4. Securities Acquired Disposed Of (D) (Instr. |                                | ed (A) or<br>str. 3, 4 and 5  | ) or 5. Amoun Securities Beneficia Owned For Reported |   | s Form<br>Illy (D) or<br>ollowing (I) (In           |  | 7. Nature of ndirect<br>Beneficial<br>Dwnership<br>Instr. 4) |  |  |  |
|  |   |  |   |  |   |   | Code                           | Amount  | (A) o   | r Price   | Transaction(a)                                      |  |  |  | msu. 4)  |  |
| Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) |   |  |   |  |   |   |                                |   |   |   |   |  |  |  |  |  |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)  | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date<br>if any<br>(Month/Day/Ye | Code   | ansaction Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)   |   | e<br>s<br>I (A)<br>sed<br>str. | 6. Date Exercisable and Expiration Date (Month/Day/Year)  7. Title and Amo of Securities Underlying Derivative Secur (Instr. 3 and 4) |   | ies<br>g<br>Security  | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s) |  | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
|  |   |  |   | Code   | v   | (A)   | (D)                            | Date<br>Exercisable   | Expiration<br>Date                                    | Title   | Amount<br>or<br>Number<br>of Shares                 |  | (Instr. 4)   | on(s)  |  |  |
| Stock<br>Option<br>(Right to<br>Buy)   | \$1   | 04/14/2023                                 |   | A  |   | 184,000   |                                | (1)   | 04/14/2033  | Common<br>Stock   | 184,000   | \$0  | 184,00   | 00   | D  |  |

## **Explanation of Responses:**

1. 25% of this option shall vest on February 15, 2024, with the remainder vesting in 12 equal quarterly installments thereafter.

/s/ Reinhard Kandera, as 04/18/2023 Attorney-in-Fact

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

 $<sup>^{\</sup>star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

<sup>\*\*</sup> Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).